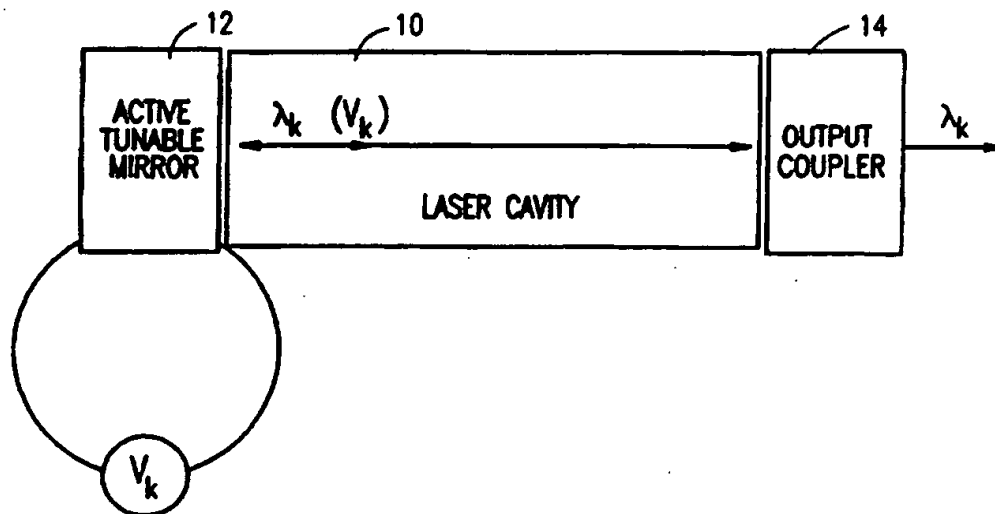




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(54) Title: ACTIVE WAVELENGTH SELECTION WITH RESONANT DEVICES



## (57) Abstract

This invention discloses an electro-optically controlled optical element including a diffraction grating; and a planar waveguide associated with the diffraction grating, the diffraction grating and planar waveguide being configured to undergo resonance of at least one of transmitted or reflected light at a wavelength which is selectable by means of an electrical input.

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### ACTIVE WAVELENGTH SELECTION WITH RESONANT DEVICES

The present invention relates to electro-optically controlled optical elements generally and also to electro-optical assemblies including such elements.

Various types of electro-optically controlled optical elements are known in the art. U.S. Patents 5,157,537 and 5,337,183 describe examples of such elements. The elements described in U.S. Patents 5,157,537 and 5,337,183 have limited dynamic range and are thus proposed to be employed as optical modulators.

The present invention seeks to provide electro-optically controlled optical elements having a significantly greater dynamic range than is known from the prior art.

There is thus provided in accordance with a preferred embodiment of the present invention an electro-optically controlled optical element including a diffraction grating and a planar waveguide associated with the diffraction grating, the diffraction grating and planar waveguide being configured to undergo resonance of at least one of transmitted or reflected light at a wavelength which is selectable by means of an electrical input.

The planar waveguide may include multiple layers having differing indices of refraction.

The element may have at least one light transmissive surface generally parallel to the planar waveguide and including an anti-reflection coating formed on at least one light transmissive surface.

There is also provided in accordance with a preferred embodiment of the present invention a laser including a laser cavity, an active tunable mirror and an output coupler defining the laser cavity, and wherein

the active tunable mirror includes an electro-optically controlled optical element including:

a diffraction grating; and

a planar waveguide associated with the diffraction grating, the diffraction grating and planar waveguide being configured to undergo resonance of at least one of transmitted or reflected light at a wavelength which is selectable by means of an electrical input.

There is additionally provided in accordance with a preferred embodiment of the present invention an electro-optically tunable laser which is tunable over a dynamic range of more than 0.1 nanometer, preferably more than 1 nanometer and even more preferably several tens of nanometers.

The optical element may function as an electro-optical tunable filter.

In accordance with a preferred embodiment of the present invention, the electro-optically controlled optical element also includes a planar waveguide whose index of refraction is controlled by the electrical input.

Additionally or alternatively, the electro-optically controlled optical element also includes a light transmissive medium whose index of refraction is controlled by the electrical input. The light transmissive material may be a liquid crystal material.

In accordance with a preferred embodiment of the present invention, the electro-optically controlled optical element also includes transparent conductors arranged adjacent the planar waveguide and electro-optically connected via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy across the planar waveguide.

Additionally or alternatively, the electro-optically controlled optical element also includes transparent conductors arranged adjacent the light transmissive medium and

electro-optically connected via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy across the light transmissive medium.

In accordance with one embodiment of the present invention, the diffraction grating and the planar waveguide are formed of semiconductor materials. Preferably, also includes a planar waveguide whose index of refraction is controlled by the electrical input and also including transparent conductors arranged adjacent the planar waveguide and electro-optically connected via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy via suitably doped semiconductor material across the planar waveguide.

Additionally or alternatively, the element also includes a light transmissive medium whose index of refraction is controlled by the electrical input and transparent conductors arranged adjacent the light transmissive medium and electro-optically connected via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy via suitably doped semiconductor material across the light transmissive medium.

In accordance with a preferred embodiment of the present invention, at least one of the diffraction grating and the planar waveguide are formed of an electro-optical material. Preferably, the planar waveguide has an index of refraction which is controlled by the electrical input and also including transparent conductors arranged adjacent the planar waveguide and electro-optically connected via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy across the planar waveguide.

Alternatively or additionally, the element also includes a light transmissive medium whose index of refraction is controlled by the electrical input and transparent conductors arranged adjacent the light transmissive medium and electro-optically connected

via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy across the light transmissive medium.

In accordance with a preferred embodiment of the invention at least one of the diffraction grating and the planar waveguide are formed of a polymeric material having a range of selectable indices of refraction which is relatively wide.

Preferably, the planar waveguide has an index of refraction which is controlled by the electrical input and also including transparent conductors arranged adjacent the planar waveguide and electro-optically connected via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy across the planar waveguide.

Alternatively or additionally, the element also includes a light transmissive medium whose index of refraction is controlled by the electrical input and transparent conductors arranged adjacent the light transmissive medium and electro-optically connected via electrodes to the electrical input, the transparent conductors being operative to apply electrical energy across the light transmissive medium.

The present invention will be understood and appreciated more fully from the following detailed description, taken in conjunction with the drawings in which:

Fig. 1 is a simplified block diagram illustration of an electro-optically tunable laser constructed and operative in accordance with a preferred embodiment of the present invention;

Fig. 2 is a simplified illustration of an electro-optically controlled optical element constructed and operative in accordance with a preferred embodiment of the present invention;

Fig. 3 is a simplified illustration of a preferred embodiment of an electro-optically controlled optical element constructed and operative in accordance with a preferred embodiment of the present invention employing semiconductor materials;

Fig. 4 is a simplified illustration of another preferred embodiment of an electro-optically controlled optical element constructed and operative in accordance with a preferred embodiment of the present invention employing liquid crystal material; and

Fig. 5 is a simplified block diagram illustration of an active tunable filter constructed and operative in accordance with a preferred embodiment of the present invention.

Reference is now made to Fig. 1, which is a simplified block diagram illustration of an electro-optically tunable laser constructed and operative in accordance with a preferred embodiment of the present invention.

The laser of Fig. 1 preferably includes a laser cavity 10 having a tunable lasing spectrum. The laser cavity is defined at one end thereof by an electrically controllable active tunable mirror 12, which is preferably a resonant grating waveguide device constructed and operative in accordance with a preferred embodiment of the present invention. The opposite end of laser cavity 10 is preferably defined by an output coupler 14, such as a laser mirror.

The laser of Fig. 1 is operative to output a laser beam of wavelength ( $\lambda_k$ ) in response to the supply of a corresponding voltage  $V_k$  to the active tunable mirror 12.

Reference is now made to Fig. 2, which is a simplified illustration of an electro-optically controlled optical element constructed and operative in accordance with a preferred embodiment of the present invention and which is particularly useful as an active tunable mirror, such as active tunable mirror 12 (Fig. 1). It is appreciated that the optical element of Fig. 2 has many other potential uses, such as an active tunable filter. As an active

filter, the optical element reflects only a selected resonance wavelength from among many wavelengths impinging thereon.

The optical element of Fig. 2 comprises a substrate 20, which typically is formed of a dielectric, transparent crystal, polymer or semiconductor material. A waveguide 22 is formed onto the substrate 20. The waveguide may be a single or multiple layer waveguide and may be formed of any suitable material, such as, for example, a dielectric, transparent crystal, polymer, liquid crystal or semiconductor material.

In Fig. 2 and the other drawings of the present application,  $n_0$  the refractive index of air and  $n_i$  is the refractive index of the various layers of the electro-optically controlled optical element, where the index  $i$  defines the  $i$ -th layer of the device. Additionally,  $t_i$  is the thickness of the  $i$ -th layer of the device,  $h$  is the height of the grating and  $\Lambda$  is the period of the grating.

Waveguide 22 may be a single mode or multiple mode waveguide. It may operate on TE or TM polarization. Where a multiple mode waveguide is provided, one mode may operate on TE or TM polarization and the remaining mode or modes may operate on the other polarization. The optical element may operate at wavelengths extending from the far UV to the far IR. The structural parameters of the optical element are determined by the wavelength at which the optical element is sought to operate.

In accordance with a preferred embodiment of the present invention a diffraction grating 24 is formed over the waveguide 22. The diffraction grating 24 may be formed of the same material as the waveguide 22 or of another material and may be formed of any suitable material, such as, for example, a dielectric, transparent crystal, polymer or semiconductor material. Although the diffraction grating is shown to have a rectangular configuration, this need not necessarily be the case.



There may optionally be formed over the diffraction grating 24 a cladding layer 26 which at least fills the interstices of the diffraction grating 24. The cladding is formed of a material different from that of the diffraction grating and may be formed of any suitable material, such as, for example, a dielectric, transparent crystal, polymer or semiconductor material.

There may also optionally be formed over the cladding layer 26 an anti-reflection coating 28. The anti-reflection coating may be a single or multiple layer coating and may be entirely conventional.

Additionally, on the opposite surface of substrate 20 from waveguide 22 there may optionally be formed an anti-reflection coating 29. The anti-reflection coating may be a single or multiple layer coating and may be entirely conventional.

In accordance with a preferred embodiment of the present invention, at least two transparent conductors 30 are provided intermediate selected layers of the optical element of Fig. 2.

For example, transparent conductors may be located at locations A and B, on opposite surfaces of the substrate. Alternatively, the transparent conductors may be located at locations A and C, location C being intermediate the waveguide 22 and the grating 24. As a further alternative, the transparent conductors may be located at locations A and D, location D being intermediate the grating 24 and the cladding 26. As still a further alternative, the transparent conductors may be located at locations A and E, location E being intermediate the cladding 26 and the optional anti-reflection coating 28.

As additional examples, transparent conductors may be located at locations B and C, B and D, and B and E or at location C and E.

A voltage or current source  $V_k$  is coupled across the two transparent conductors.

An incident light beam 40, such as a plane wave, having a predetermined wavelength ( $\lambda_0$ ) impinges on the optical element as indicated generally in Fig. 2. It is appreciated that it may impinge on the optical element from either side of the substrate. When the optical element of Fig. 2 is employed as a laser mirror, as, for example, in the embodiment of Fig. 1, the impingement is preferably substantially perpendicular to the surfaces of the substrate 20 and the waveguide 22. When the optical element of Fig. 2 is employed as a active tunable filter, the impingement is preferably non-perpendicular but lies within a predetermined angular limit of the perpendicular.

The operation of the optical element of Fig. 2 may be summarized as follows: Light of a predetermined wavelength impinging on the optical element at a predetermined angle is substantially reflected by the optical element, which is at resonance for this particular wavelength. Light of other than the predetermined wavelength or which impinges at other than the predetermined angle is mainly transmitted through the optical element in the direction of impingement. The spectral behavior at wavelengths near the predetermined wavelength and at angles near the predetermined angle is generally Lorentzian.

The spectral bandwidth,  $\Delta\lambda$ , of the optical element for transmission or reflection may be approximated as being proportionally related to the height of the diffraction grating and the difference between the refractive indices of the grating,  $n_j$ , and the cladding,  $n_k$ , by:

$$\Delta\lambda \propto (n_j^2 - n_k^2)^a \cdot k^b \cdot h^c$$

where  $k$  is the first Fourier component of the grating, and  $h$  is the height of the grating. The indices "a", "b", and "c", generally have the value of 2.

Thus, for a given laser or tunable filter structure, the spectral bandwidth can be selected to preferably be between the values of 0.01 nanometers to 1.0 nanometer. Usually, the height of the diffraction grating and the difference in the indices of refraction

between the grating and the cladding are the variables which are conveniently selected to choose the desired spectral bandwidth.

The mechanism which produces the above-described behavior can be summarized as follows: Light impinging on the optical element is partially diffracted into a mode in the waveguide for travel therealong and is diffracted out of the waveguide at intermittent locations along the waveguide. At resonance, the sum of the light diffracted out of the waveguide into the incident beam direction is substantially equal to zero, while the sum of the light diffracted out of the waveguide in the zero order direction of reflection with respect to the incident beam direction is substantially equal to unity. Substantially total reflection of the incident beam results.

Away from resonance, the sum of the light diffracted out of the waveguide into the incident beam direction is substantially equal to unity less the usual Fresnel reflection, while the sum of the light diffracted out of the waveguide in the zero order direction of reflection with respect to the incident beam direction is substantially equal to the usual Fresnel reflection.

Thus, when the electro-optically controlled element is working as an active tunable mirror in a laser cavity, the lasing wavelength of the laser is determined by the active tunable mirror to be the resonance wavelength of the mirror. All other wavelengths suffer from strong losses due to their small amount of reflection, and thus do not lase.

When the electro-optically controlled element is working as an active tunable filter, only the light incident on the device at a wavelength which is the device resonant wavelength is selected by reflecting back from the device. All other incident wavelengths are generally transmitted through the device.

The resonant wavelength of the optical element of Fig. 2 and of the remaining optical elements described hereinbelow is selected by supplying to the optical element a

suitably selected electrical voltage or current. The suitably selected voltage or current is operative to the index of refraction of one or more layers by means of, for example, the linear electro-optic effect (Pockels), the quadratic electro-optic effect, the plasma effect in semiconductors, the band-filling effect in semiconductors or current injection. The resonant wavelength may be shifted by varying the voltage or current supplied to the element.

Reference is now made to Fig. 3, which is a simplified illustration of a preferred embodiment of an electro-optically controlled optical element constructed and operative in accordance with a preferred embodiment of the present invention employing semiconductor materials and which is particularly useful as an active tunable mirror, such as active tunable mirror 12 (Fig. 1). It is appreciated that the optical element of Fig. 3 has many other potential uses, such as a active tunable filter.

The optical element of Fig. 3 comprises a N- or P- doped substrate 120, which typically is formed of a semiconductor material such as gallium arsenide, indium phosphide, silicon or germanium. A waveguide 122 is formed over the substrate 120. The waveguide may be a single or multiple layer waveguide and may be formed of any suitable semiconductor material, such as, for example, aluminum gallium arsenide, indium gallium arsenide phosphide or silicon germanium. In Fig. 3 the symbol "I" indicates an "intrinsic layer". Usually "I" has doping values between  $10^{14}$  to  $10^{15}$  and in some cases "I" may have values up to  $10^{17}$ .

Fig. 3 illustrates a P-I-N device. Alternatively, it is appreciated that the device of Fig. 3 may also be a N-I-P device by reversing its relevant layers.

In accordance with one embodiment of the invention there may be optionally provided one or more intermediate semiconductive layers. In the illustrated embodiment a first layer 124 is provided of a material identical or similar to that of substrate 120 but having a lesser amount of doping than the substrate 120. An additional layer 126 may be

provided intermediate layer 124 and waveguide 122. Layer 126 is typically of a material identical or similar to that of substrate 120 and layer 124, but substantially without doping, that is an intrinsic layer "I". It is appreciated that additional layers of gradually lesser doping may be interposed between layers 124 and 126.

The gradual decrease in doping in successive layers between the substrate 120 and the waveguide 122 is intended to provide gradually increasing mode losses with increasing distance from the waveguide 122, thus decreasing the overall mode loss of the element.

The provision of layer 126, having substantially no doping, is intended to decrease the effective capacitance of the waveguide 122 and thus increase its operating speed.

In accordance with a preferred embodiment of the present invention a diffraction grating 130 is formed over the waveguide 122. In the illustrated embodiment of Fig. 3, the diffraction grating 130 is integrally formed with the waveguide 122, although this need not be the case. In a preferred embodiment of the invention, the diffraction grating 130 is formed of the same material as the waveguide 122, it being appreciated that the diffraction may be formed of another suitable semiconductor material. Although the diffraction grating is shown to have a rectangular configuration, this need not necessarily be the case.

There may optionally be formed over the diffraction grating 130 one or more cladding layers 132, 134 and 136, wherein cladding 132 at least fills the interstices of the diffraction grating 130. The cladding is formed of a material different from that of the diffraction grating and may be formed of any suitable semiconductor or other material, such as, for example, aluminum gallium arsenide, indium gallium arsenide phosphide, silicon germanium or transparent ITO.

In the illustrated embodiment, three cladding layers 132, 134 and 136 are provided. It is appreciated that a lesser or greater number of cladding layers may alternatively be provided, so long as at least one transparent electrode is present over the diffraction grating 130.

In the illustrated embodiment layer 134 is provided of a material identical or similar to that of layer 136 but having a lesser amount of doping. Layer 132, intermediate layer 134 and diffraction grating 130, is typically of a material identical or similar to that of layer 134, but substantially without doping. It is appreciated that additional layers of gradually lesser doping may be interposed between layers 134 and 132.

The gradual decrease in doping in successive layers between layer 136 and diffraction grating 130 is intended to provide gradually increasing mode losses with increasing distance from the waveguide 122, thus decreasing the overall mode loss of the element.

The provision of layer 132, having substantially no doping, is intended to decrease the effective capacitance of the waveguide 122 and thus increase its operating speed.

There may also optionally be formed over cladding layer 136 an anti-reflection coating 138. The anti-reflection coating may be a single or multiple layer coating and may be entirely conventional.

Additionally, on the opposite surface of substrate 120 from waveguide 122 there may optionally be formed an anti-reflection coating 139. The anti-reflection coating may be a single or multiple layer coating and may be entirely conventional.

In the illustrated embodiment, a conductor 140, typically formed of a metal or semiconductor material, is electrically associated with layer 136 and a conductor 142,

also typically formed of a metal or semiconductor material, is electrically associated with the substrate 120.

Alternatively, at least two transparent conductors (not shown) may be provided intermediate selected layers of the optical element.

A voltage or current source  $V_k$  is coupled across the two conductors 140 and 142.

The device optical and geometrical parameters illustrated in Fig. 3 allow the device to function as an active tunable mirror or as an active tunable filter for wavelengths in the region of 1.55 microns.

An incident light beam 150 having a predetermined wavelength ( $\lambda_0 \approx 1.55$  microns) impinges on the optical element as indicated generally in Fig. 3. It is appreciated that it may impinge on the optical element from either side of the substrate. When the optical element of Fig. 3 is employed as a laser mirror, as, for example, in the embodiment of Fig. 1, the impingement is preferably substantially perpendicular to the surfaces of the substrate 120 and the waveguide 122. When the optical element of Fig. 3 is employed as a active tunable filter, the impingement is preferably non-perpendicular but lies within a predetermined angular limit of the perpendicular.

It will be appreciated that in the illustration of Fig. 3 the indices of refraction ( $n_6 = 3.45$ ) of the waveguide and the grating (layer 5) and ( $n_4 = 3.17$ ) of the layer 4 above the grating, as well as the duty cycle of the grating and the grating height are selected to provide a spectral bandwidth, at full width half maximum of the Lorentzian of about 0.25 nm.

The operation of the optical element of Fig. 3 may be identical to that summarized hereinabove with reference to Fig. 2.

Reference is now made to Fig. 4 which is a simplified illustration of another preferred embodiment of an electro-optically controlled optical element constructed and operative in accordance with a preferred embodiment of the present invention employing liquid crystal material and which is particularly useful as an active tunable mirror, such as active tunable mirror 12 (Fig. 1). It is appreciated that the optical element of Fig. 4 has many other potential uses, such as a active tunable filter.

The optical element of Fig. 4 comprises a substrate 220, which typically is formed of a dielectric, transparent crystal, polymer or semiconductor material. A waveguide 222 is formed onto the substrate 220. The waveguide may be a single or multiple layer waveguide and may be formed of any suitable material, such as, for example, a dielectric, transparent crystal, polymer or semiconductor material.

In accordance with a preferred embodiment of the present invention a diffraction grating 224 is formed over the waveguide 222. The diffraction grating 224 may be formed of the same material as the waveguide 222 or of another material and may be formed of any suitable material, such as, for example, a dielectric, transparent crystal, polymer or semiconductor material. Although the diffraction grating is shown to have a rectangular configuration, this need not necessarily be the case.

There is formed over the diffraction grating 224 a cladding layer 226 which at least fills the interstices of the diffraction grating 224. The cladding is formed of a liquid crystal material having a wide range of selectable indices of refraction.

There may also optionally be formed over the cladding layer 226 an anti-reflection coating 228. The anti-reflection coating may be a single or multiple layer coating and may be entirely conventional.



Additionally, on the opposite surface of substrate 220 from waveguide 222 there may optionally be formed an anti-reflection coating 229. The anti-reflection coating may be a single or multiple layer coating and may be entirely conventional.

In accordance with a preferred embodiment of the present invention, at least two transparent conductors 230 are provided intermediate selected layers of the optical element of Fig. 4.

In the illustrated embodiment transparent conductors are seen to be located at locations A and B, on opposite surfaces of the liquid crystal cladding layer 226. A voltage or current source  $V_k$  is coupled across the two transparent conductors.

The device optical and geometrical parameters illustrated in Fig. 4 allow the device to function as an active tunable mirror or as an active tunable filter for wavelengths in the region of 0.6 microns.

An incident light beam 260 having a predetermined wavelength ( $\lambda_0 \approx 0.6$  microns) impinges on the optical element as indicated generally in Fig. 4. It is appreciated that it may impinge on the optical element from either side of the substrate. When the optical element of Fig. 4 is employed as a laser mirror, as, for example, in the embodiment of Fig. 1, the impingement is preferably substantially perpendicular to the surfaces of the substrate 220 and the waveguide 222. When the optical element of Fig. 4 is employed as a active tunable filter, the impingement is preferably non-perpendicular but lies within a predetermined angular limit of the perpendicular.

The operation of the optical element of Fig. 4 may identical to that summarized hereinabove with respect to Fig. 2. It will be appreciated that in the illustration of Fig. 4 the indices of refraction ( $n_4 = 1.65$ ) of the grating and ( $n_2$  preferably about 1.5) of the layer above the grating as well as the duty cycle of the grating and the grating height

are selected to provide a spectral bandwidth, at full width half maximum of the Lorentzian of about 0.1 nm.

Reference is now made to Fig. 5 which illustrates the optical element of any of Figs. 2, 3 and 4 in operation as a active tunable filter. Radiation at various wavelengths ( $\lambda_1, \dots, \lambda_n$ ) impinges upon the optical element 300. Depending on the voltage or current  $V_k$  applied to the optical element 300, the optical element reflects radiation only at a given wavelength( $\lambda_k$ ). Radiation at all other wavelengths passes through the optical element.

It will be appreciated by persons skilled in the art that the present invention is not limited by what has been particularly shown and described hereinabove. Rather the scope of the present invention is defined only by the claims which follow:

## C L A I M S

We claim:

1. An electro-optically controlled optical element comprising:  
a diffraction grating; and  
a planar waveguide associated with said diffraction grating, said diffraction grating and planar waveguide being configured to undergo resonance of at least one of transmitted or reflected light at a wavelength which is selectable by means of an electrical input.
2. An electro-optically controlled optical element according to claim 1 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input.
3. An electro-optically controlled optical element according to claim 1 and wherein said planar waveguide has an index of refraction which is controlled by said electrical input.
4. An electro-optically controlled optical element according to claim 2 and also comprising transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.
5. An electro-optically controlled optical element according to claim 3 and also comprising transparent conductors arranged adjacent said planar waveguide and electro-

optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said planar waveguide.

6. An electro-optically controlled optical element according to claim 1 and wherein said diffraction grating and said planar waveguide are formed of semiconductor materials.

7. An electro-optically controlled optical element according to claim 6 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input and transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy via suitably doped semiconductor material across said light transmissive medium.

8. An electro-optically controlled optical element according to claim 6 wherein said planar waveguide has an index of refraction which is controlled by said electrical input and also comprising transparent conductors arranged adjacent said planar waveguide and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy via suitably doped semiconductor material across said planar waveguide.

9. An electro-optically controlled optical element according to claim 1 and wherein at least one of said diffraction grating and said planar waveguide are formed of an electro-optical material.

10. An electro-optically controlled optical element according to claim 9 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input and transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.
11. An electro-optically controlled optical element according to claim 9 wherein said planar waveguide has an index of refraction which is controlled by said electrical input and also comprising transparent conductors arranged adjacent said planar waveguide and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said planar waveguide.
12. An electro-optically controlled optical element according to claim 1 and wherein at least one of said diffraction grating and said planar waveguide are formed of at least one of a polymeric material and a liquid crystal.
13. An electro-optically controlled optical element according to claim 12 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input and transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.
14. An electro-optically controlled optical element according to claim 12 wherein said planar waveguide has an index of refraction which is controlled by said electrical input and also comprising transparent conductors arranged adjacent said planar waveguide and

electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said planar waveguide.

15. An electro-optically controlled optical element according to claim 2 and wherein said light transmissive medium is a liquid crystal material.

16. An electro-optically controlled optical element according to claim 15 and wherein said light transmissive medium has an index of refraction which is controlled by said electrical input and said optical element also comprises transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.

17. An electro-optically controlled optical element according to any of claims 1 - 16 and wherein said planar waveguide comprises multiple layers having differing indices of refraction.

18. An electro-optically controlled optical element according to any of claims 1 - 16 and having at least one light transmissive surface generally parallel to said planar waveguide and comprising an anti-reflection coating formed on at least one light transmissive surface.

19. An electro-optically controlled optical element according to any of claims 1 - 16 and wherein said optical element is an electro-optical tunable filter.

20. An electro-optically controlled optical element according to any of claims 1 - 16 and wherein said optical element is a portion of a laser.
21. A laser comprising:  
a laser cavity; and  
an active tunable mirror and an output coupler defining said laser cavity, and  
wherein  
said active tunable mirror comprises an electro-optically controlled optical element comprising:  
a diffraction grating; and  
a planar waveguide associated with said diffraction grating, said diffraction grating and planar waveguide being configured to undergo resonance of at least one of transmitted or reflected light at a wavelength which is selectable by means of an electrical input.
22. An electro-optically controlled optical element according to claim 21 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input.
23. An electro-optically controlled optical element according to claim 21 and wherein said planar waveguide has an index of refraction which is controlled by said electrical input.
24. An electro-optically controlled optical element according to claim 22 and also comprising transparent conductors arranged adjacent said light transmissive medium and

electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.

25. An electro-optically controlled optical element according to claim 23 and also comprising transparent conductors arranged adjacent said planar waveguide and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said planar waveguide.

26. An electro-optically controlled optical element according to claim 21 and wherein said diffraction grating and said planar waveguide are formed of semiconductor materials.

27. An electro-optically controlled optical element according to claim 26 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input and transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy via suitably doped semiconductor material across said light transmissive medium.

28. An electro-optically controlled optical element according to claim 26 wherein said planar waveguide has an index of refraction which is controlled by said electrical input and also comprising transparent conductors arranged adjacent said planar waveguide and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy via suitably doped semiconductor material across said planar waveguide.



29. An electro-optically controlled optical element according to claim 21 and wherein at least one of said diffraction grating and said planar waveguide are formed of an electro-optical material.
30. An electro-optically controlled optical element according to claim 29 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input and transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.
31. An electro-optically controlled optical element according to claim 29 wherein said planar waveguide has an index of refraction which is controlled by said electrical input and also comprising transparent conductors arranged adjacent said planar waveguide and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said planar waveguide.
32. An electro-optically controlled optical element according to claim 31 and wherein at least one of said diffraction grating and said planar waveguide are formed of at least one of a polymeric material and a liquid crystal.
33. An electro-optically controlled optical element according to claim 32 and also comprising a light transmissive medium whose index of refraction is controlled by said electrical input and transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.

34. An electro-optically controlled optical element according to claim 32 wherein said planar waveguide has an index of refraction which is controlled by said electrical input and also comprising transparent conductors arranged adjacent said planar waveguide and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said planar waveguide.

35. An electro-optically controlled optical element according to claim 32 and wherein said light transmissive medium is a liquid crystal material.

36. An electro-optically controlled optical element according to claim 35 and wherein said light transmissive medium has an index of refraction which is controlled by said electrical input and said optical element also comprises transparent conductors arranged adjacent said light transmissive medium and electro-optically connected via electrodes to said electrical input, said transparent conductors being operative to apply electrical energy across said light transmissive medium.

37. An electro-optically controlled optical element according to any of claims 21 - 36 and wherein said planar waveguide comprises multiple layers having differing indices of refraction.

38. An electro-optically controlled optical element according to any of claims 21 - 36 and having at least one light transmissive surface generally parallel to said planar waveguide and comprising an anti-reflection coating formed on at least one light transmissive surface.

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FIG. 1

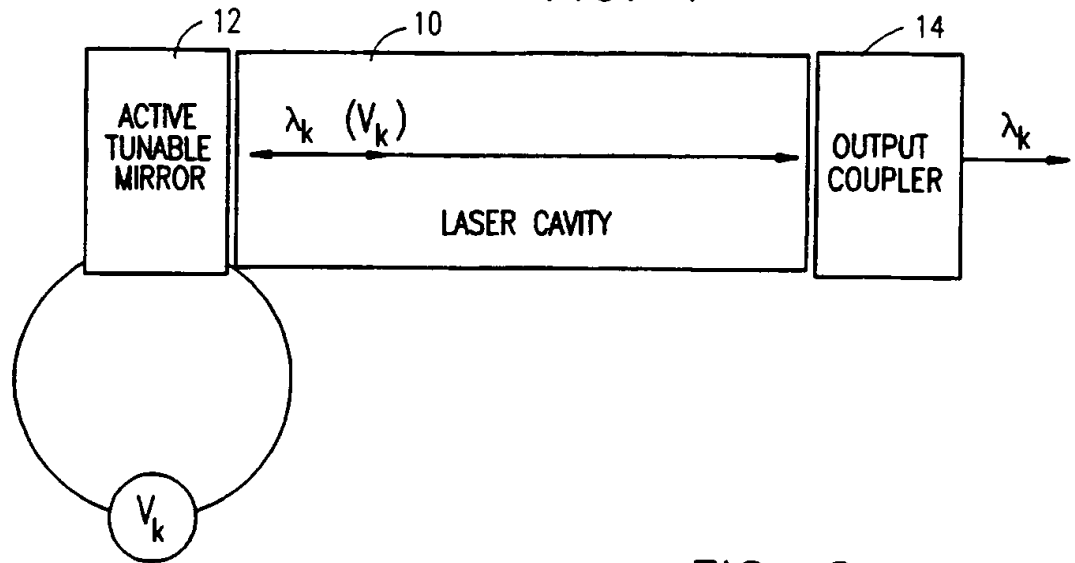
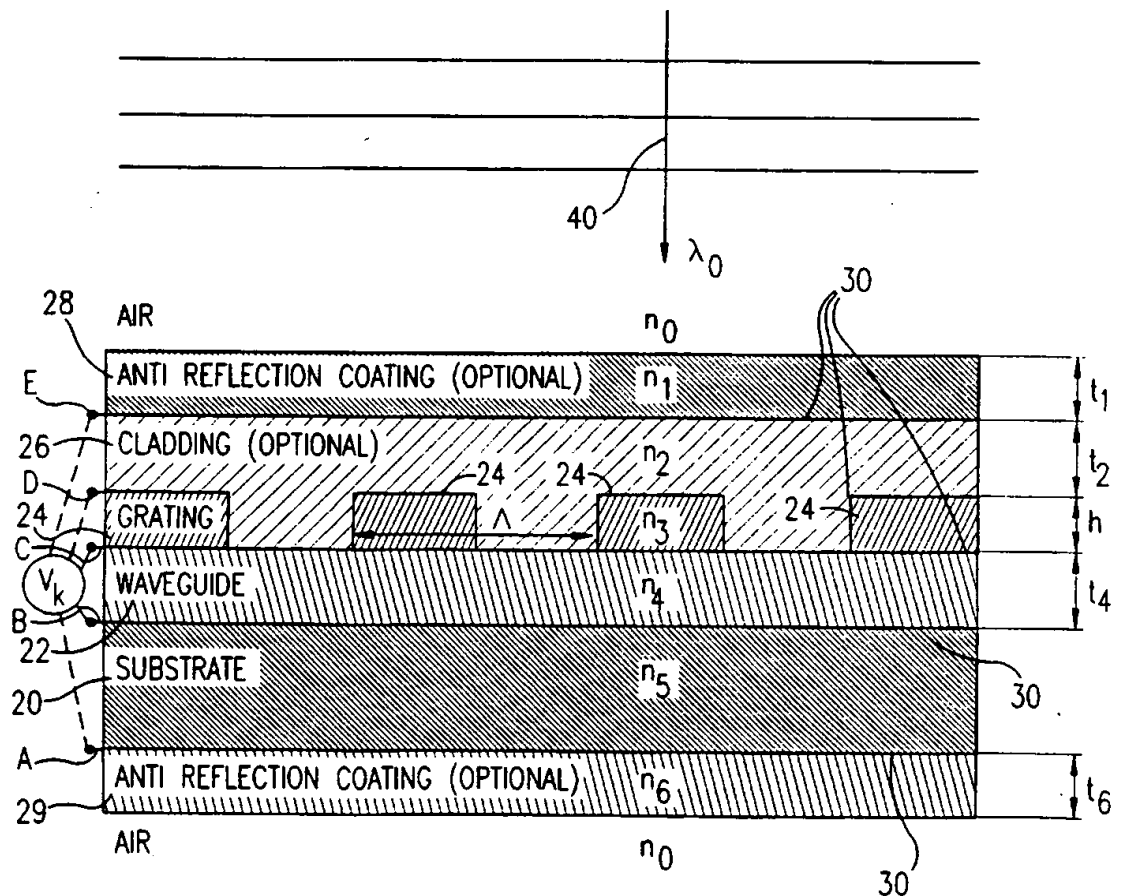


FIG. 2



39. An electro-optically tunable laser which is tunable over a dynamic range of more than 0.1 nanometer.
40. An electro-optically tunable laser which is tunable over a dynamic range of more than 1 nanometer.
41. An electro-optically tunable laser which is tunable over a dynamic range of several tens of nanometers.

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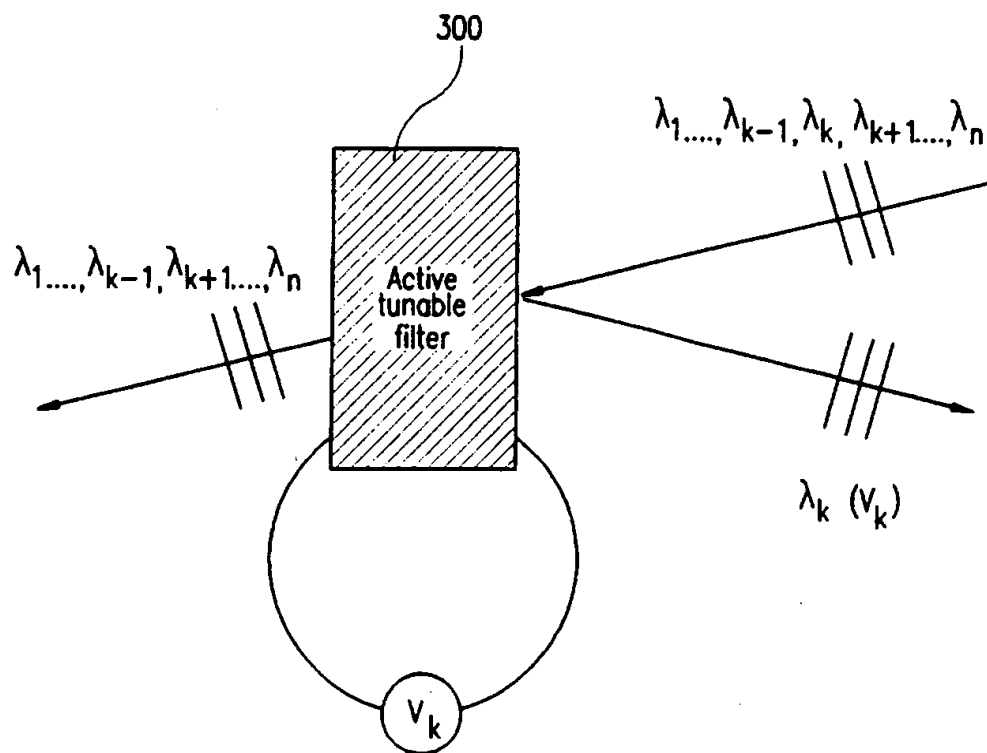
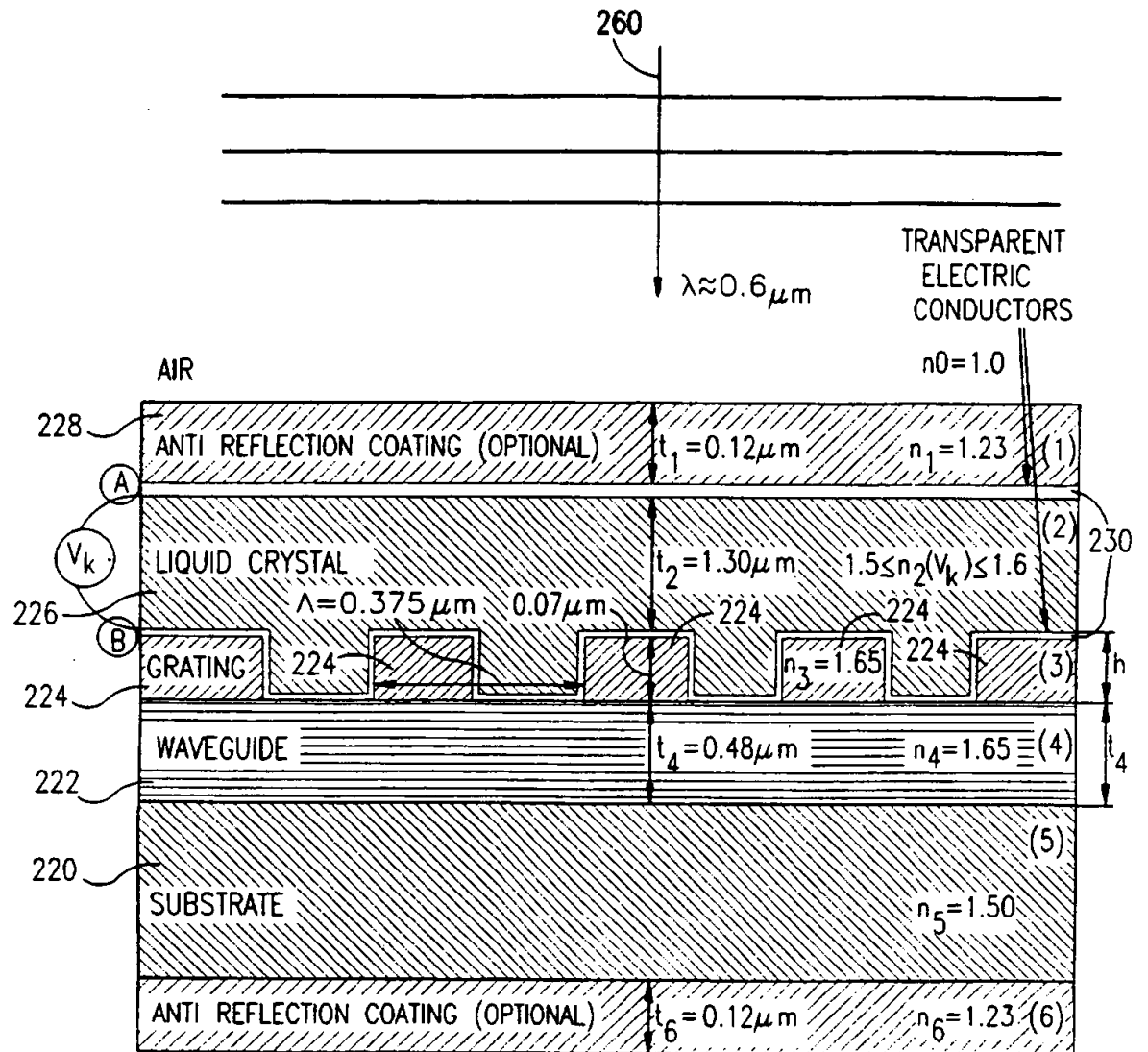


FIG. 5



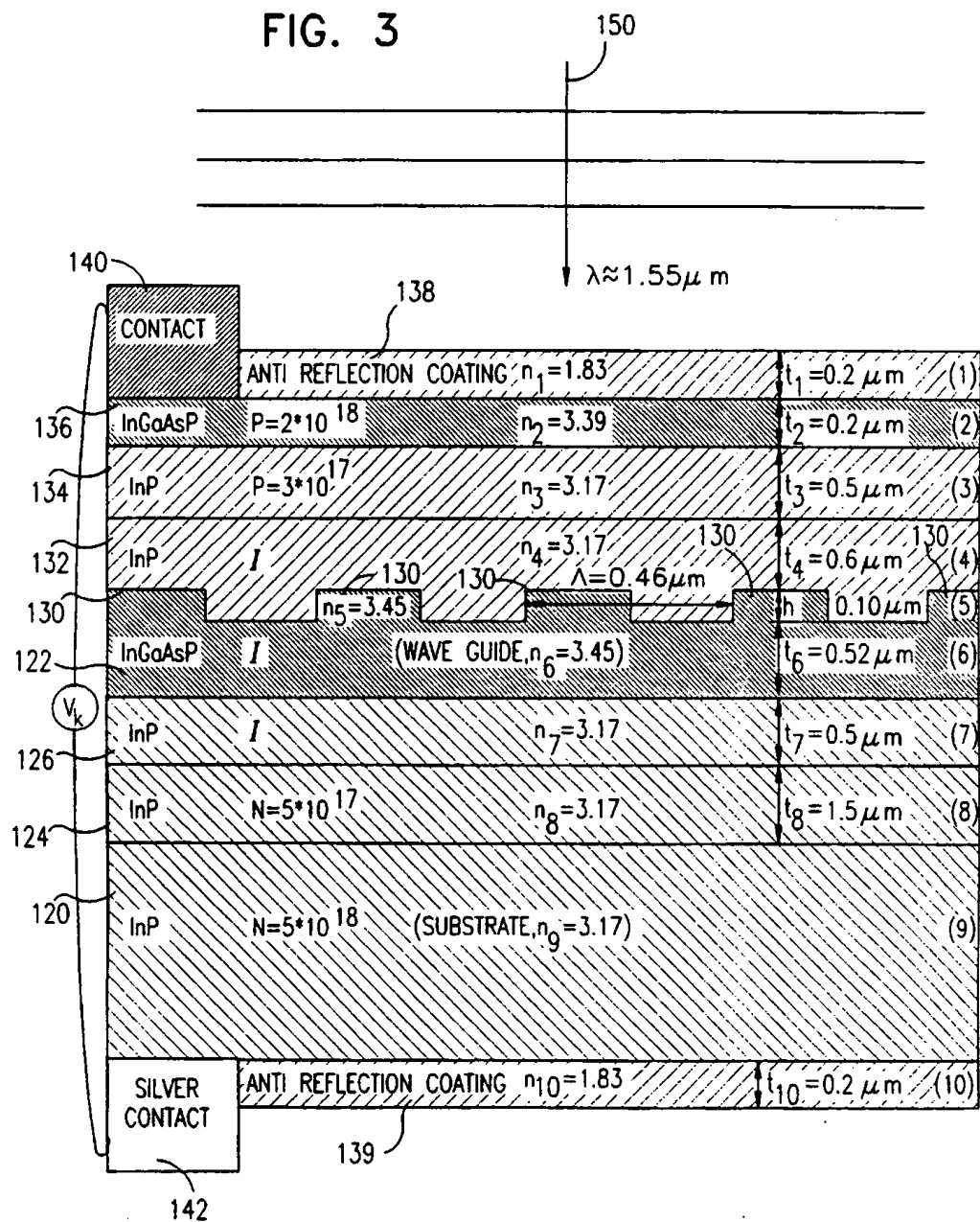
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FIG. 4



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FIG. 3





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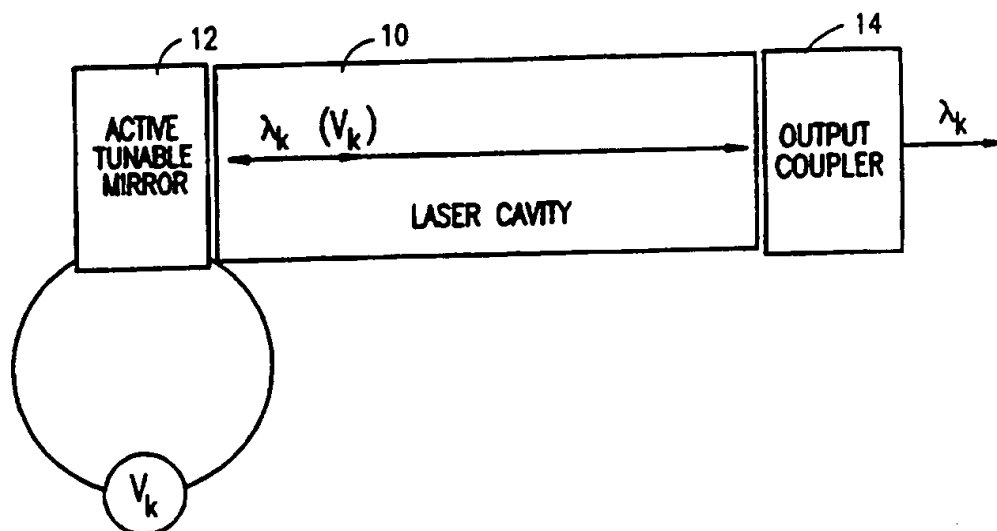
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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(74) Agents: COLB, Sanford, T. et al.; Sanford T. Colb & Co., P.O. Box 2273, 76122 Rehovot (IL).		(88) Date of publication of the international search report: 22 January 1998 (22.01.98)

(54) Title: ACTIVE WAVELENGTH SELECTION WITH RESONANT DEVICES



(57) Abstract

This invention discloses an electro-optically controlled optical element (300) including a diffraction grating (130); and a planar waveguide (122) associated with the diffraction grating (130), the diffraction grating (130) and the planar waveguide (122) being configured to undergo resonance of at least one of transmitted or reflected light at a wavelength which is selectable by means of an electrical input.

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IL97/00151

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : HOIS 3/10; GO2F 1/035

US CL : 372/20, 102; 359/245, 248; 385/40

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 372/20, 102; 359/245, 248; 385/40

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS: tunable laser, active mirror, electro-optic, grating, dynamic

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,157,537 A (ROSENBLATT) 20 October 1992 (10/20/92), Figures 8 and 9, col. 10, line 67 to col. 12, line 25.	1
A	US 5,253,314 A (ALFERNES et al) 12 October 1993 (10/12/93), see entire document.	none



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents:

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\*T\*

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document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

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document member of the same patent family

Date of the actual completion of the international search

28 OCTOBER 1997

Date of mailing of the international search report

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IL97/00151

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☒ Claims Nos.: 39-41  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
  
No technical features of the invention are set forth in claims 39-41.
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet(1))(July 1992)\*